

# THE USE OF NP-COMPLETE PROBLEMS FROM GRAPH THEORY IN PROTOCOLS FOR ZERO-KNOWLEDGE PROOFS

Robert Jajcay

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# Outline

Motivation

NP-Complete Problems

The Role of NP-Complete Problems in Zero-Knowledge Proofs

NP-Complete Problems in Graph Theory

Example of a Zero-Knowledge Protocol

Practical Consequences of NP-completeness

Graph Isomorphism Problem

## General Setting:

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- ▶ conversations may be listened to
- ▶ everyone is assumed to be dishonest until proved otherwise
- ▶ no one's identity can be taken for sure

For any conversation to take place, a **proof of identity** is required: a strictly defined *challenge and response protocol* designed to convince the recipient that the other person is who she claims to be.

### Typical “real-life” situations:

- ▶ calling over the phone to activate a new credit card
- ▶ receiving an e-mail from a supposedly unbiased friend

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- ▶ a need for a large number of verifications done in real time = quickly
- ▶ safety against pretenders even after many years of use, and a substantial number of exchanges!

## Zero-Knowledge Proof Protocols

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All the known protocols allow for verifying the identity of the prover beyond any reasonable doubt.

**Decision Problems:** A *class* of problems with a yes/no answer.

**An NP-Problem:** A class of decision problems that can be solved in polynomial non-deterministic time, and for which there exists a deterministic polynomial *certificate* for the instances where the answer is yes.

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Example: Given a formula in a conjunctive form in  $n$  logical variables, is there a specific choice of values for the variables that will make the formula true?

Note:

- ▶ non-deterministic polynomial algorithm
- ▶ certificate is any choice of variables making the formula true
- ▶ there is no certificate for the formula to be false
- ▶ it is an *NP-complete problem*

Theorem (Goldreich, Micali, Wigderson, 1991)

*Under the assumption that secure encryption functions exist, all NP problems give rise to zero-knowledge proofs.*

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**Intuitively**, NP-complete graph-theoretical problems are "global" questions with the certificate usually involving most of the vertices of the graph.

Some of the better known NP-complete problems in graph theory include:

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- ▶ **Hamiltonicity:** given a graph  $G$ , is there a cycle in  $G$  of length  $v = |V(G)|$ ?

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When identification is required, the prover convinces the verifier of actually possessing the certificate **without giving the certificate away!**

## Example Using Hamilton Cycles

Peggy and Victor both know the graph  $G$  assigned to Peggy. In addition, Peggy knows a Hamilton cycle for  $G$ .

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  - ▶ reveals the Hamiltonian path, **but not the isomorphism**.
- ▶ These steps are repeated until Victor is satisfied

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With each round the probability that Peggy can fool Victor decreases by one half.

Victor never receives the Hamilton cycle for the original graph  $G$ .

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It is not clear however, how "safe" (i.e., computationally hard) is finding the certificate for any realistic "random" graph.

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**The provider cannot use random graphs**, as that would require testing the graphs for certificates - the very thing that is computationally infeasible

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**NP-completeness does not imply that the decision is hard for any random graph**

In particular, it does not imply that the problem is hard for graphs constructed in any way that guarantees a certificate.

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- ▶ the size of the graph is not directly indicative of its complexity; hence, it is hard to evaluate the algorithms
- ▶ there is a large number of graph theoretical results that give conditions that guarantee/produce a certificate for large subclasses of graphs and that may be verified in polynomial time; the class of graphs for which the problem is hard may actually be "thin" within the class of all graphs

## Sample Results Guaranteeing Certificates

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Note: knowing the Hamiltonicity of a graph may not be helpful for finding a Hamilton cycle.

Frieze, 1988, designed an  $O(n^3 \log n)$  time algorithm that finds a Hamilton cycle in a high degree regular graph with a very high probability.

## Sample Results Guaranteeing Certificates

Frieze's algorithm allows for the pretender to find a certificate for "any" specific graph in real time.



Although nothing extra is revealed in the challenge/response sequence, there is a potential for the pretender to find a certificate for someone else's graph – but **there is no way to verify the correctness of someone's certificate!**



Note: Gurevich and Shelah have improved the result to a linear algorithm.

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### Theorem (Garey, Johnson, Stockmeyer)

*The graph 3-colorability, vertex cover, and the directed Hamiltonian path problems remain NP-complete even when restricted to graphs of degree bounded from below by a constant.*

# Graph Isomorphism Problem

Note: many of the zero-knowledge proof protocols rely on this problem.

An instance for this problem consists of two graphs  $G_1$  and  $G_2$  with a decision to be made whether the two graphs are isomorphic.

## Definition

Let  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  be two finite graphs. An *isomorphism* from  $G_1$  to  $G_2$  is a bijection  $\varphi : V(G_1) \rightarrow V(G_2)$  preserving the adjacency of vertices;  $u - v$  in  $G_1$  if and only if  $\varphi(u) - \varphi(v)$  in  $G_2$ .

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- ▶ no one knows of a polynomial algorithm for this problem
- ▶ no one has been able to prove that no polynomial algorithm for this problem exists (which would of course prove that  $P \neq NP$ )
- ▶ no one has been able to show this problem to be NP-complete

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- ▶ there is a number of obvious heuristics for this problem based on polynomially computable invariants
- ▶ B. McKays program "Nauty" is being widely used and believed to be the fastest for isomorphism testing for graphs of seemingly surprisingly large sizes; however, one needs to be aware that two "randomly chosen" graphs are extremely likely to be dramatically different; several classes of graphs have been shown to be exponentially hard for Nauty (see, e.g., *A performance comparison of five algorithms for graph isomorphism*, by Foggia, Sansone, and Vento).

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- ▶ the problem has been shown to be linear for planar graphs
- ▶ altered or generalized versions of the isomorphism problem have been shown to be NP-complete; for example, the problem of determining whether a given graph has a fixed-point-free automorphism is NP-complete